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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/810,958	03/26/2004	Christopher P. Henderson	59698US002	9828
32692	7590	05/08/2007	EXAMINER	
3M INNOVATIVE PROPERTIES COMPANY			PATEL, NIHIL B	
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NOTIFICATION DATE		DELIVERY MODE		
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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<b>Office Action Summary</b>	Application No.	Applicant(s)
	10/810,958	HENDERSON ET AL.
	Examiner Nihir Patel	Art Unit 3772

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 02.24.2007.
- 2a) This action is **FINAL**.      2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-34 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1-34 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) All    b) Some \* c) None of:
    1. Certified copies of the priority documents have been received.
    2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- 1) Notice of References Cited (PTO-892)
- 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) Notice of Informal Patent Application
- 6) Other: \_\_\_\_\_.

## DETAILED ACTION

### *Response to Arguments*

1. Applicant's arguments filed on February 24<sup>th</sup>, 2007 have been fully considered but they are not persuasive. The applicant argues that Nelson's respiratory mask has a mask body that includes a rigid insert 12. The examiner disagrees. The Nelson reference states that reference character 12 as being defined as the rigid insert and 14 is defined as the elastomeric face seal. The examiner disagrees. Reference character 12 in Nelson is defined as the mask body (see **column 2 lines 20-35**) and reference character 14 is defined as the soft deformable material as a facer seal which is secured to a perimeter of the mask body 12 to improve fit of the mask body at the nose portion. As stated on **column 2 lines 20-35**, Nelson's invention comprises four major components: **mask body 12, face seal 14, harness assembly 16 and harness anchors 18**; the component "rigid insert" is not mentioned anywhere in the patent. In response to the applicant's arguments that Brostrom is not relied on for the rigid insert but rather a harness that includes a carriage and at least one straps which covers the exhalation valve and being secured to the mask body at the central portion.

### *Claim Rejections - 35 USC § 102*

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 1-3, 5, 8, 29 and 32 are rejected under 35 U.S.C. 102(b) as being anticipated by Neslon et al. (Us 4,960,121).

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4. **As to claims 1 and 32**, Nelson teaches a half-face mask assembly that comprises a mask body 12 (see figures 1-3 and column 2 lines 20-30) that lacks a rigid insert that is non-elastomeric (see column 2 lines 35-40), and that is adapted for fitting over a person's nose and mouth, the mask body having a nose portion, a chin portion, first and second cheek portions, and an axis that extends from the nose portion to the chin portion (see figures 6 and 7), the mask body being constructed to deform such that the first and second cheek portion can move towards each other about the axis when the mask body is held stationary and a force is exerted on the nose and chin portion (see figures 6 and 7; inherently when the mask is used as shown in figure 7, the mask body will deform such that the first and second cheek portion can move towards each other), and a harness 16 that assists in supporting the mask on a wearer's face (see figures 6 and 7).

5. **As to claim 2**, Nelson teaches an apparatus that further comprises one or more filter cartridges that are attached to the mask body (see figure 1 and column 2 lines 50-60).

6. **As to claim 3**, Nelson teaches an apparatus wherein the mask includes first and second filter cartridges that are secured to the first and second cheek portions, respectively (see figure 1 and column 2 lines 50-60).

7. **As to claim 5**, Nelson teaches an apparatus wherein the first and second cheek portions are capable of deflecting inward during normal jaw movement of the wearer (see figures 6 and 7; inherently when the mask is used as shown in figure 7, the mask body will deform such that the first and second cheek portion can move towards each other).

8. **As to claim 8**, Nelson teaches an apparatus wherein the mask body further includes a soft deformable material as a face seal, which soft deformable material is secured to a perimeter of the mask body to improve fit of the mask body to a person's face (see **column 3 lines 30-55**).

9. **As to claim 29**, Nelson teaches an apparatus wherein the mask body is constructed from a thermoformed plastic (see **column 2 lines 35-40**).

*Claim Rejections - 35 USC § 103*

10. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

11. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

12. Claims **4, 6 7, and 18** are rejected under 35 U.S.C. 103(a) as being unpatentable over Nelson et al. (US 4,960,121) in view of Brostrom et al. (US 6,062,221).

13. **As to claims 4, 6 and 7**, Nelson substantially discloses the invention as claimed, see rejection of claim 1 above, but does not disclose a harness that includes a carriage and at least one strap, the carriage covering the exhalation valve and being secured to the mask body at the central portion. Brostrom discloses a drop-down facemask assembly that does provide a harness

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that includes a carriage **26** (see column 3 lines 50-60) and at least one strap **44 and 46**, the carriage covering the exhalation valve (see column 3 lines 50-60) and being secured to the mask body at the central portion (see figure 2). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Nelson's invention by providing a harness that includes a carriage and at least one strap, the carriage covering the exhalation valve and being secured to the mask body at the central portion as taught by Brostrom in order to provide an exhaust valve closer to the breathing passage of the user and so that the mask will not disengage from the carriage, nor from the user.

14. **As to claim 18**, Nelson and Brostrom substantially discloses the claimed invention, see rejection of claims 1 and 6 above, but does not disclose a range of force that is used when the mask is fitted on a wearer's face. It would have been obvious to one having ordinary skill in the art at the time the invention was made to disclose a range of force that is used when the mask is fitted on a wearer's face, since it has been held that where the general conditions of a claim are disclosed in the prior art, discovering the optimum or workable ranges involves only routine skill in the art. *In re Aller*, 105 USPQ 233.

15. Claim **9** is rejected under 35 U.S.C. 103(a) as being unpatentable over Nelson et al. (US 4,960,121) in view of Brostrom et al. (US 6,062,221) and further in view of Springett et al. (US 5,617,849).

16. **As to claim 9**, Nelson and Brostrom substantially disclose the invention as claimed, see rejection of claim 1 above, but does not disclose a mask body that has a foam material secured to the interior of the mask body at the nose portion. Springett discloses an apparatus that does provide a mask body that has a foam material secured to the interior of the mask body at the nose

portion (see column 5 lines 20-30). Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Nelson and Brostrom inventions by providing a mask body that has a foam material secured to the interior of the mask body at the nose portion as taught by Springett in order to prevent contaminates from escaping to ambient.

17. Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Nelson et al. (US 4,960,121) in view of McCreadie et al. (US 4,579,113).

18. As to claim 10, Nelson substantially discloses the invention as claimed, see rejection of claim 1 above, but does not disclose a mask body having a mechanism that allows for attachment of a powered air supply source. McCreadie discloses an apparatus that does provide a mask body having a mechanism 62 that allows for attachment of a powered air supply source (see figure 5 and column 4 lines 1-10). Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Nelson's invention by providing a mask body having a mechanism that allows for attachment of a powered air supply source as taught by McCreadie in order to supply clean air in a contaminated environment.

19. Claims 11-17 and 19-28 and 30-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nelson et al. (US 4,960,121).

20. As to claims 11-13, Nelson substantially discloses the claimed invention, see rejection of claim 1 above, but does not disclose a numerical value of the elastic limit the mask body can elongate. It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide a numerical value of the elastic limit the mask body can elongate, since it has been held that discovering an optimum value of a result effective variable involves only routine skill in the art. *In re Boesch*, 617 F 2d 272, 205 USPQ 215 (CCPA 1980).

21. **As to claims 14-17**, Nelson substantially discloses the claimed invention, see rejection of claim 1 above, but does not disclose a numerical value for flexible modulus of the mask body. It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide a numerical value for flexible modulus of the mask body, since it has been held that discovering an optimum value of a result effective variable involves only routine skill in the art. *In re Boesch*, 617 F 2d 272, 205 USPQ 215 (CCPA 1980).

22. **As to claims 19 and 20**, Nelson substantially discloses the claimed invention, see rejection of claim 1 above, but does not disclose a numerical value of the deflection or a numerical value of the force required for the mask body deflection test. It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide a numerical value of the deflection or a numerical value of the force required for the mask body deflection test, since it has been held that discovering an optimum value of a result effective variable involves only routine skill in the art. *In re Boesch*, 617 F 2d 272, 205 USPQ 215 (CCPA 1980).

23. **As to claims 21-24**, Nelson substantially discloses the claimed invention, see rejection of claim 1 above, but does not disclose a numerical value of the weight of the mask body in naked form. It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide a numerical value of the weight of the mask body in naked form, since it has been held that discovering an optimum value of a result effective variable involves only routine skill in the art. *In re Boesch*, 617 F 2d 272, 205 USPQ 215 (CCPA 1980).

24. **As to claims 25-28**, Nelson substantially discloses the claimed invention, see rejection of claim 1 above, but does not disclose a numerical value of the thickness of the mask body. It

would have been obvious to one having ordinary skill in the art at the time the invention was made to provide a numerical value of the thickness of the mask body, since it has been held that discovering an optimum value of a result effective variable involves only routine skill in the art.

***In re Boesch, 617 F 2d 272, 205 USPQ 215 (CCPA 1980).***

25. **As to claims 31, 33 and 34,** Nelson substantially discloses the claimed invention, see rejection of claim 1 above, but does not disclose a numerical value of the weight, thickness and flexural modulus of the mask body. It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide a numerical value of the weight, thickness and flexural modulus of the mask body, since it has been held that discovering an optimum value of a result effective variable involves only routine skill in the art. ***In re Boesch, 617 F 2d 272, 205 USPQ 215 (CCPA 1980).***

26. **As to claim 30,** Nelson substantially discloses the claimed invention, see rejection of claim 1 above, but does not disclose a thermoformed plastic that comprises polypropylene. It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide a thermoformed plastic that comprises polypropylene, since it has been held to be within the general skill of a worker in the art to select a known material on the basis of its suitability for the intended use as a matter of obvious design choice. ***In re Leshin, 125 USPQ 416.***

***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nihir Patel whose telephone number is (571) 272-4803. The examiner can normally be reached on 7:30 to 4:30 every other Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patricia Bianco can be reached on (571) 272-4940. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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4-30-07